**Mellon Conflicts of Interest Questionnaire**

***Key Definitions:***

***Relative:*** *spouse, domestic partner, children, siblings, mother, father, in-laws, uncles, aunts, first cousins (step, foster and adoptive relationships of the same).*

***Research Provider:*** *Broker-Dealer or other person or entity from which Mellon purchases any form of research, whether reports or access to experts, whether paid for directly by Mellon or with client commissions.*

***Mellon Vendors****: Examples, including but not limited to, Eze Castle, Eagle, Portware, Bloomberg, Thompson-Reuters, Factset, Deutsche Bank AG, Fidelity Information Services, IHS Markit, ITG, Kuberre Systems, MSCI, Northfield Information Services, OMGEO, Proposal Software, SEI, Standard & Poor’s, Goldman Sachs Prime Brokerage.*

Please answer each question below and provide additional information where required to explain your response.

1. Do you have a relative who is employed by a registered broker-dealer?

Yes  No

* 1. If yes, please provide name of relative, employer and position.

1. Do you have a relative who is employed by a Mellon vendor?

Yes  No

* 1. If yes, please provide name of relative, employer and position.

1. Do you have a relative who is employed by a Research Provider (see attached list of Mellon Research Providers)?

Yes  No

* 1. If yes, please provide name of relative, employer and position.

1. Do you have a relative who is employed by a current Mellon client who has the authority to retain or terminate Mellon?

Yes  No

* 1. If yes, please provide name of relative, employer and position.

1. Do you have a relative who is employed as a Sr. Executive of a public company or serves on the board of a public company?

Yes  No

* 1. If yes, please provide name of relative, public company and position.

1. Do you serve on the board, a committee or in an advisory role of a public or a private for profit company?

Yes  No

* 1. If yes, provide name of company.

1. Do you sit on any not for profit boards or committees (e.g., schools, church, etc…).

Yes  No

* 1. If Yes, do you provide any financial advice as part of this role(s)?

Yes  No

* 1. If yes, provide details.

1. Do you maintain a profile on any social media sites that identify you as an employee of Mellon?

Yes  No

* 1. If yes, please list the social media sites where you identify yourself as an employee of Mellon.

1. List all personal investments in private entities held by you or any persons in your household.
2. Please describe and provide detail on any other conflicts of interest of which you are currently aware.

**I certify that my above responses are true and complete to the best of my knowledge.**

By: Self

Print Name: Shashanka Papolu

Date: 10/27/2020